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<b>(21) International Application Number:</b> PCT/US98/11003 <b>(22) International Filing Date:</b> 29 May 1998 (29.05.98) <b>(30) Priority Data:</b> 60/050,932 30 May 1997 (30.05.97) US <b>(71) Applicant (for all designated States except US):</b> GENETRACE SYSTEMS, INC. [US/US]; 333 Ravenswood Avenue PM 083, Menlo Park, CA 94025 (US). <b>(72) Inventors, and</b> <b>(75) Inventors/Applicants (for US only):</b> HUNTER, Joanna, M. [US/US]; 3830 Bret Harte Drive, Redwood City, CA 94061 (US). LIN, Hua [US/US]; 436-C Whitehall Road, Alameda, CA 94501 (US). BECKER, Christopher, H. [US/US]; 3404 Bryant Street, Palo Alto, CA 94306 (US). <b>(74) Agent:</b> SERTICII, Gary, J.; Arnold, White & Durkee, P.O. Box 4433, Houston, TX 77210 (US).	<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, CA, UG, US, UZ, VN, YU, ZW. ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IR, IT, LI, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	
<b>(54) Title:</b> VOLATILE MATRICES FOR MATRIX-ASSISTED LASER DESORPTION/IONIZATION MASS SPECTROMETRY  <b>(57) Abstract</b>  A sample preparation method is disclosed for volatilization and mass spectrometric analysis of nonvolatile high molecular weight molecules. Photocurable molecules having significant sublimation rates at room temperature under vacuum, and preferably containing hydroxy functionalities, are disclosed for use as matrices in matrix-assisted laser desorption/ionization mass spectrometry. The samples are typically cooled in the mass spectrometer to temperatures significantly below room temperature.		

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## DESCRIPTION

### VOLATILE MATRICES FOR MATRIX-ASSISTED LASER DESORPTION/IONIZATION MASS SPECTROMETRY

#### 5 1.0 BACKGROUND OF THE INVENTION

##### 1.1 Field of the Invention

This invention relates to volatile photoabsorbing matrices having a low sublimation temperature for use in the mass spectrometric analysis of large, nonvolatile molecules. This invention also relates to methods for preparing samples containing large, nonvolatile analyte  
10 molecules for laser desorption mass spectrometry employing such matrices.

##### 1.2 Description of Related Art

Approximately 4,000 human disorders are attributed to genetic causes. Hundreds of genes responsible for various disorders have been mapped, and sequence information is being  
15 accumulated rapidly. A principal goal of the Human Genome Project is to find all genes associated with each disorder. The definitive diagnostic test for any specific genetic disease (or predisposition to disease) will be the identification of polymorphic variations in the DNA sequence of affected cells that result in alterations of gene function. Furthermore, response to specific medications may depend on the presence of polymorphisms. Developing DNA (or  
20 RNA) screening as a practical tool for medical diagnostics requires a method that is inexpensive, accurate, expeditious, and robust.

Genetic polymorphisms and mutations can manifest themselves in several forms, such as point polymorphisms or point mutations where a single base is changed to one of the three other bases; deletions where one or more bases are removed from a nucleic acid sequence and  
25 the bases flanking the deleted sequence are directly linked to each other; insertions where new bases are inserted at a particular point in a nucleic acid sequence adding additional length to the overall sequence; and expansions and reductions of repeating sequence motifs. Large insertions and deletions, often the result of chromosomal recombination and rearrangement events, can lead to partial or complete loss of the activity of a gene. Of these forms of polymorphism, in

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general the most difficult type of change to screen for and detect is the point polymorphism because it represents the smallest degree of molecular change.

Although a number of genetic defects can be linked to a specific single point mutation within a gene, e.g. sickle cell anemia, many are caused by a wide spectrum of different mutations throughout the gene. A typical gene that might be screened could be anywhere from 1,000 to 100,000 bases in length, though smaller and larger genes do exist. Of that amount of DNA, only a fraction of the base pairs actually encode the protein. These discontinuous protein coding regions are called exons and the remainder of the gene is referred to as introns. Of these two types of regions, exons often contain the most important sequences to be screened. Several complex procedures have been developed for scanning genes in order to detect polymorphisms. These procedures are applicable to both exons and introns.

In terms of current use, most of the methods to scan or screen genes employ slab or capillary gel electrophoresis for the separation and detection step in the assays. Gel electrophoresis of nucleic acids primarily provides relative size information based on mobility through the gel matrix. If calibration standards are employed, gel electrophoresis can be used to measure absolute and relative molecular weights of large biomolecules with some moderate degree of accuracy; even then, the accuracy is typically only 5% to 10%. Also the molecular weight resolution is limited. In cases where two DNA fragments with the identical number of base pairs can be separated, for example, by using high concentration polyacrylamide gels, it is still not possible to identify which band on a gel corresponds to which DNA fragment without performing secondary labeling experiments. Thus, gel electrophoresis techniques can only determine size and cannot provide any information about changes in base composition or sequence without performing more complex sequencing reactions. Gel-based techniques, for the most part, are dependent on labeling or staining methods to visualize and discriminate between different nucleic acid fragments.

Many methods in use today capable of screening broadly for genetic polymorphisms suffer from technical complication and are labor and time intensive. Single strand conformational polymorphism (SSCP) (Orita *et al.*, 1989), denaturing gradient gel electrophoresis (DGGE) (Abrams *et al.*, 1990), chemical cleavage at mismatch (CCM) (Saleeba and Cotton, 1993), enzymatic mismatch cleavage (EMC) (Youni *et al.*, 1995), and cleavage fragment length polymorphism (CFLP) procedures are currently gel-based, making them

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cumbersome to automate and perform efficiently. Thus, there is a need for new methods that can provide cost effective and expeditious means for screening genetic material in an effort to detect genetic mutations and diagnose related medical conditions simply, quickly, accurately, and inexpensively.

5 Another approach that is having some success is to employ mass spectrometry to screen for and detect genetic mutations as well as to sequence nucleic acids. In order to measure the mass of nonvolatile high molecular weight molecules, typically greater than 1000 Da, in a mass spectrometer, the analyte molecules must first be volatilized or converted into gas-phase ions. Although direct laser desorption of the neat analyte is one approach to volatilizing the  
10 molecule, the energy deposited into the analyte may induce fragmentation and lead to results that are ambiguous or difficult to analyze. The late 1980's saw the rise of two new mass spectrometric techniques which are potentially suitable for genetic screening tests by successfully measuring the masses of intact very large biomolecules, namely, matrix-assisted laser desorption/ionization (MALDI) time-of-flight mass spectrometry (TOF MS) (Tanaka  
15 *et al.*, 1988; Spengler *et al.*, 1989) and electrospray ionization (ESI) combined with a variety of mass analyzers. The MALDI mass spectrometric technique can also be used with methods other than time-of-flight, for example, magnetic sector, Fourier-transform ion cyclotron resonance, quadrupole, and quadrupole trap.

MALDI-TOF MS involves laser pulses focused on a small sample plate on which  
20 analyte molecules (*i.e.* nucleic acids) are embedded in either a solid or liquid matrix which is typically a small, highly absorbing material, such as a small aromatic organic molecule. The volatilization of intact fragile molecules benefits from the use of matrix-assisted laser desorption ionization because the radiative energy from the laser pulse is coupled indirectly into the analyte through the matrix molecules. Typically, the analyte molecules are crystallized with  
25 a large molar excess of a photoabsorbing matrix (see U.S. Patent Nos. 4,920,264 and 5,118,937, incorporated herein by reference). An advance in MALDI analysis of polynucleotides was the discovery of 3-hydroxypicolinic acid (3-HIPA) as a suitable matrix for mixed-base oligonucleotides (Wu, *et al.*, 1993).

The laser pulses transfer energy to the matrix causing a microscopic ablation and  
30 concomitant ionization of the analyte molecules, producing a gaseous plume of intact, charged nucleic acids in single-stranded form. It is thought that upon laser excitation the matrix

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molecules are rapidly heated and ejected into the gas phase, carrying analyte molecules into the expansion plume of molecules and ions. It is thought that gas-phase ion-molecule collisions subsequently ionize the neutral analyte molecules in the near-surface region, often via proton transfer. The matrix thus functions as both an energy- and charge-transfer species. If double-stranded nucleic acids are analyzed, the MALDI-TOF MS typically results in detection of mostly charged denatured single-stranded nucleic acids.

The ions generated by the laser pulses are accelerated to a fixed kinetic energy by a strong electric field and then passed through an electric field-free region in vacuum, traveling with a velocity corresponding to their respective mass-to-charge ratios ( $m/z$ ). Thus, the smaller  $m/z$  ions will travel through the vacuum region faster than the larger  $m/z$  ions thereby causing a separation. At the end of the electric field-free region, the ions collide with a detector that generates a signal as each set of ions of a particular mass-to-charge ratio strikes the detector. Usually for a given assay, 10 to 100 mass spectra resulting from individual laser pulses are summed together to make a single composite mass spectrum with an improved signal-to-noise ratio.

The mass of an ion (such as a charged nucleic acid) is measured by using its velocity to determine the mass-to-charge ratio by time-of-flight analysis. In other words, the mass of the molecule directly correlates with the time it takes to travel from the sample plate to the detector. The entire process takes only microseconds. In an automated apparatus, tens to hundreds of samples can be analyzed per minute. In addition to speed, MALDI-TOF MS has one of the largest mass ranges for mass spectrometric devices. The current mass range for MALDI-TOF MS is from 1 to 1,000,000 Da (measured recently for a protein) (Nelson *et al.*, 1995).

The performance of a mass spectrometer is measured by its sensitivity, mass resolution and mass accuracy. Sensitivity is measured by the amount of material needed; it is generally desirable and possible with mass spectrometry to work with sample amounts in the femtomole and low picomole range. Mass resolution,  $m/\Delta m$ , is the measure of an instrument's ability to produce separate signals from ions of similar mass. Mass resolution is defined as the mass,  $m$ , of an ion signal divided by the full width of the signal,  $\Delta m$ , usually measured between points of half-maximum intensity. Mass accuracy is the measure of error in designating a mass to an ion signal. The mass accuracy is defined as the ratio of the mass assignment error divided by the mass of the ion and can be represented as a percentage.

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To be able to detect any point polymorphism directly by MALDI-TOF mass spectrometry, one would need to resolve and accurately measure the masses of nucleic acids in which a single base change has occurred (in comparison to the wild type nucleic acid). A single base change can be a mass difference of as little as 9 Da. This value represents the difference  
5 between the two bases with the closest mass values, A and T (A = 2'-deoxyadenosine-5'-phosphate = 313.19 Da; T = 2'-deoxythymidine-5'-phosphate = 304.20 Da; G = 2'-deoxyguanosine-5'-phosphate = 329.21 Da; and C = 2'-deoxycytidine-5'-phosphate = 289.19 Da). If during the mutation process, a single A changes to T or a single T to A, the mutant nucleic acid containing the base transversion will either decrease or increase by 9 Da in total  
10 mass as compared to the wild type nucleic acid. For mass spectrometry to directly detect these transversions, it must therefore be able to detect a minimum mass change,  $\Delta m$ , of approximately 9 Da.

For example, in order to fully resolve (which may not be necessary) a point-mutated (A to T or T to A) heterozygote 50-base single-stranded DNA fragment having a mass,  $m$ , of  
15  $\sim 15,000$  Da from its corresponding wild type nucleic acid, the required mass resolution is  $m/\Delta m = 15,000/9 \approx 1,700$ . However, the mass accuracy needs to be significantly better than 9 Da to increase quality assurance and to prevent ambiguities where the measured mass value is near the half-way point between the two theoretical masses. For an analyte of 15,000 Da, in practice the mass accuracy needs to be  $\Delta m \sim \pm 3$  Da  $\pm 6$  Da. In this case, the absolute mass  
20 accuracy required is  $(6/15,000) \times 100 = 0.04\%$ . Often a distinguishing level of mass accuracy relative to another known peak in the spectrum is sufficient to resolve ambiguities. For example, if there is a known mass peak 1000 Da from the mass peak in question, the relative position of the unknown to the known peak may be known with greater accuracy than that provided by an absolute, previous calibration of the mass spectrometer.

25 In addition, the ability to separate DNA fragments (1) differing in only one base in length and (2) of reasonable length (e.g., of sizes corresponding to at least primer size, around 20 to 30 bases or so up to about 50 bases in length) is critical to achieving even rudimentary DNA sequencing by MALDI-MS. For laser desorption mass spectroscopy techniques to successfully analyze macromolecules requires that one stably laser-desorb molecules into a  
30 vapor phase, and separate and detect (and thereby determine the mass of) the volatilized molecules by mass spectroscopy. The ability to stably desorb the macromolecule depends on



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the availability of a suitable light absorbing matrix that will allow one to stably laser-desorb DNA molecules from a solid state to a gaseous state, and permit separation of DNA molecules having only a nucleotide or so difference in length. Putting that into perspective, the difference in mass between a polynucleotide having 30 versus 31 nucleotide represents about a 3% difference in mass (about 9610 v. 310, assuming an average m.w. of 310 for each nucleotide). If one applies this to a DNA molecule of 100 nucleotides in length, a modest sequence by DNA sequencing standards, the separation system must distinguish among DNA molecules differing by only 1% in mass.

Thus, there is a need for the development of MS techniques and related materials for practicing these techniques that have enhanced resolution, accuracy, and sensitivity. The ability to stably desorb the molecule from a solid matrix that absorbs light at the laser wavelength, without radiation damage and fragmentation of the sample is particularly important as fragmentation can lead to complex spectra and decreased resolution and sensitivity.

Although MALDI generates less energetic analyte ions than direct laser desorption, thus decreasing the thermal degradation of the analyte, the ions nevertheless contain significant internal energy, which may result in fragmentation. Among the few matrix molecules that have been found to desorb/ionize intact DNA, 3-HPA is currently the most widely used (Wu *et al.*, 1993; Wu *et al.*, 1994). Using a matrix mixture of 3-HPA with picolinic acid, oligonucleotides have been detected that are greater than 500 bases (up to about 200 kDa) in length (Tang *et al.*, 1994; Liu *et al.*, 1995). However, as the length of the oligonucleotide increases, the mass resolution is degraded by widening kinetic energy spreads, prompt fragmentation, delayed fragmentation (metastable decay), and the formation of matrix adducts. Thus, there is a need to develop MS materials and methods that minimize fragmentation of the analyte ions during the MALDI process, extend the accessible mass range for mass spectrometric detection, and enhance the utility of the MS techniques.

## 2.0 SUMMARY OF THE INVENTION

It is therefore a goal of the present invention to provide compositions and methods relating to the preparation of samples containing nonvolatile analyte molecules for mass analysis using a photoabsorbing, low-sublimation temperature matrix. These matrix molecules provide a means for desorbing and ionizing nonvolatile, nonthermally-labile organic molecules

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such as biomolecules and synthetic polymers. Minimizing fragmentation of the parent analyte ion and/or reducing adduct formation leads to increased detection sensitivity and/or increased resolution and/or extension of the usable mass range.

The deleterious effects associated with widening kinetic energy spreads, fragmentation and the formation of matrix-analyte adducts are reduced by employing a matrix system, as disclosed herein, having lower intermolecular binding energies associated with increased volatility. Lower binding energies can reduce fragmentation by minimizing the internal energy of the desorbed analyte, and can reduce adduct formation by lowering the binding energy of the analyte with its surrounding molecules. The desorption of a volatile matrix at room temperature but cooled to maintain low vapor pressure in the mass spectrometer may also require less energy. Because a vacuum is required for the mass spectrometry, volatile, crystalline matrices which sublime or evaporate readily at room temperature are typically cooled to reduce their vapor pressures to practical levels, which is below about  $10^{-5}$  Torr in the desorption plume. This consequently means that the analyte internal energy may also be lower. It is therefore an advantage of the present invention to use liquids or low sublimation temperature solids as matrices because such systems generally enable lower desorption/ionization temperatures.

The present invention relates to a method for volatilization and mass spectrometric analysis of nonvolatile, or nonthermally labile, large organic molecules including biomolecules such as nucleic acids, for example, DNA and RNA; proteins and peptide nucleic acids (PNA); oligosaccharides, and other high molecular weight polymers.

The invention generally provides a method for determining the mass of a large organic molecule. The method typically includes contacting a large organic molecule, the mass of which one desires to determine, with a photoabsorbing, or light absorbing, low-sublimation temperature matrix to produce a matrix:molecule mixture. This contacting step may be carried out by dissolving the large organic molecule to be analyzed in a solution containing the matrix. The matrix:molecule mixture is then irradiated by a light source, such as a laser, to desorb, ionize, and produce an ionized large organic molecule. The ionized large organic molecule is then separated from other constituents, such as the matrix:molecule mixture or other matrix:molecule adducts, using mass spectrometry and the mass of the ionized large organic

molecule determined. While any mass spectrometry is contemplated for use with the present invention, time-of-flight mass spectrometry is preferred.

The matrix:molecule mixture typically comprises a physical mixture of the matrix with the molecule to be analyzed. It may or may not contain adducts of the matrix with the molecule. Although if adducts are formed, they will typically be only weakly associated such that they may be readily dissociated upon irradiation, desorption, and ionization.

As used herein the term "n" encompasses embodiments wherein it refers to a single element as well as embodiments including one or more of such elements.

In performing the mass spectrometry, it is preferable to use a cooled sample stage. Generally, the sample stage is cooled to less than 273° K, typically to from about 150° K to 200° K or to about 180 K. While it is contemplated that the sample stage may be cooled by any suitable means, it may typically be cryogenically cooled by liquid nitrogen.

In creating the matrix:molecule mixture, for example, by dissolving the large organic molecule in a solution containing the matrix, one of skill in the art will understand that the solution containing the matrix may generally contain one or more solvents. Preferably the solvents will be water and/or organic solvents, such as ethanol, methanol, toluene, acetone, and acetonitrile. After the matrix:molecule mixture is formed, the solvents are substantially evaporated, typically to dryness. In preferred embodiments, the solvents are evaporated at room temperature. After evaporating the solvent, the resulting solid or crystalline molecule-matrix mixture is cooled to a vapor pressure between about  $10^{-10}$  Torr and about  $10^{-5}$  Torr.

The matrix for use in the present invention is generally a volatile, light-absorbing, hydroxy-bearing matrix. As used herein, volatile matrices are those that are volatile at room temperature at ambient or reduced pressures. In preferred aspects, the matrix may be a phenol, a hydroxyquinoline, or a hydroxynaphthalene. Where the matrix is a phenol, it will preferably be 4-nitrophenol. Where the matrix is a hydroxyquinoline, it will preferably be 8-hydroxyquinoline. It is also generally preferred that the matrix have a molecular weight of between about 90 Da and about 400 Da. Different classes of analyte molecules may also require different matrix systems. The matrix should typically not react or interact strongly with the analyte and the analyte should be soluble in the matrix crystals.

In particular embodiments the matrix has a high sublimation rate between the temperatures of 20°C to 200°C (or a low sublimation temperature). The low-sublimation

temperature matrix may typically have a sublimation rate at room temperature of at least  $0.1 \mu\text{m}\cdot\text{min}^{-1}$  at a pressure of about  $10^{-5}$  Torr or less and preferably the sublimation rate at these conditions is from about  $0.01 \mu\text{m}\cdot\text{min}^{-1}$  to about  $0.1 \text{ mm}\cdot\text{min}^{-1}$ . Also provided are embodiments where the matrix is a crystalline solid.

5 As used herein the terms "photo absorbing" or "light absorbing" refer to the ability of the matrix to absorb the desorption light sufficiently strong to aid in the desorption and ionization of the large organic molecule. Typically the matrices will absorb light between the wavelengths of approximately 200 nm and approximately 20,000 nm although it will be understood that this absorption is not continuous. It is further preferred that the photoabsorbing  
10 matrix have an absorption coefficient greater than about  $10 \text{ L}\cdot\text{cm}^{-1}\cdot\text{mol}^{-1}$ , up to and including an absorption coefficient of  $10^6 \text{ L}\cdot\text{cm}^{-1}\cdot\text{mol}^{-1}$ , at the wavelength of the desorbing and ionizing radiation. The method of the invention is useful for determining the mass of virtually any large organic molecule. For example, the mass of a polymer may be determined using the methods of the invention. In preferred aspects of the invention, the polymer to be analyzed will be a  
15 biopolymer, such as a nucleic acid, a polypeptide, a peptide nucleic acid (PNA), an oligosaccharide, or a mass-modified derivative thereof. Where the molecule to be analyzed is a nucleic acid, it will be understood that it may be, for example, a DNA or an RNA.

The analyte should typically be purified to minimize the presence of salt ions and other molecular contaminants. These impurities may reduce the intensity and quality of the mass  
20 spectrometric signal to a point where either (i) the signal is undetectable or unreliable, or (ii) the mass accuracy and/or resolution is below the value necessary for the particular application, such as to detect the type of polymorphism expected or sequence the analyte. A preferred method to purify the analyte is to immobilize it on a solid support and wash it remove impurities, such as sodium and potassium ions. The analyte may then be released from the solid support and  
25 contacted with the matrix.

The size of the analyte to be analyzed should also be within the range where there is sufficient mass resolution and accuracy. Mass accuracy and resolution significantly degrade as the mass of the analyte increases. Currently, the detection of single nucleotide polymorphisms (SNPs) above said mass value is difficult above a mass of approximately 30,000 Da for  
30 oligonucleotides (~ 100 bases) although this range may increase with further advances in MS-related technology. Third, because all molecules within a sample are visualized during mass

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spectrometric analysis (i.e. it is not possible to selectively label and visualize certain molecules and not others as one can with gel electrophoresis methods), samples may preferably be partitioned prior to analysis to remove unwanted products from the spectrum.

It is contemplated that the method of the invention will allow for the mass determination of any large organic molecule having a mass of greater than about 1,000 Da. More specifically, one may determine the mass of a molecule having a mass of greater than about 27,000 Da, greater than about 30,000 Da, greater than about 50,000 Da, greater than about 75,000 Da, greater than about 100,000 Da, greater than about 150,000 Da, greater than about 175,000 Da, greater than about 200,000 Da, greater than about 250,000 Da, or even greater than about 315,000 Da. The organic molecule will typically have a mass of less than 5,000,000 Da, 3,000,000 Da or 1,000,000 Da. In some embodiments, the organic molecule may have a mass of less than 500,000 or 300,000 Daltons.

To perform the desorbing step, one will generally expose the matrix:molecule mixture to a source of energy to desorb the large organic molecule from the matrix. The source of energy used for desorption of the large organic molecule will preferably be a laser beam. The laser beam used to desorb and ionize the large organic molecule may be any laser but is preferably a pulsed laser. Typically, the desorption step will include applying an energy of about 20 kV followed by a pulse of energy of about 2.7 kV. Preferably, the pulse of energy comprises light having a wavelength of about 355 nm. The mass of the large organic molecule may then be determined by summing the mass spectra over a number of laser pulses, preferably about 200 laser pulses or about 1000 laser pulses, or any number of pulses therebetween, such as, for example, about 250 laser pulses, about 300 laser pulses, about 350 laser pulses, about 500 laser pulses, about 750 laser pulses, etc. Of course, it is contemplated that one may sum the mass spectra of less than about 200 pulses or more than about 1000 pulses, but it will be understood that lower numbers of pulses, especially very low numbers of pulses such as 10 or 20 or 50 pulses, etc., may give less accurate results, and higher numbers of pulses becomes unnecessarily repetitive and lower the efficiency and cost-effectiveness of the method.

In another aspect, the invention also provides a method for preparing a sample of large organic molecules for mass spectral analysis. This method typically includes providing a solution comprising a large organic molecule to be analyzed, a matrix molecule comprising a

volatile, light-absorbing hydroxy-bearing matrix molecule, and a solvent, and evaporating the solvent to provide a solid crystalline matrix containing the molecule to be analyzed.

The present invention applies to MALDI mass spectrometry of all classes of nonvolatile, large organic compounds, with synthetic polymers and biopolymers preferred. The present invention is particularly preferred for mass analysis of biopolymers such as nucleic acids, proteins, PNAs and oligosaccharides due to the fragile nature of these molecules. The method utilizes pulsed laser desorption/ionization mediated by a matrix followed by mass spectrometric separation and detection of the analyte molecules. The matrix may be a crystalline solid or a liquid at room temperature, with crystalline solids being preferred. The preferred matrix has a high sublimation rate in vacuum at room temperature and absorbs the desorption light strongly.

Therefore in accordance with the present invention, there is provided crystalline solid, light absorbing compounds having hydroxy functionalities, but not carboxylic functionalities, for use as a matrix in mass analysis. In preferred embodiments the matrix compounds may be phenols, hydroxyquinolines or hydroxynaphthalenes. The crystalline solids, 8-hydroxyquinoline and 4-nitrophenol, which are volatile at room temperature, are particularly preferred as matrices in accordance with the present invention.

The less energetic, more facile desorption/ionization from these volatile matrices minimizes fragmentation and extends the high mass limit for generation of intact analyte molecules. These crystalline matrices exhibit increased sensitivity for detection of both low (8-hydroxyquinoline) and high (4-nitrophenol) molecular weight analytes. Analyte molecules, including DNA, exceeding 250 kDa molecular weight can be detected by this method.

There is provided embodiments where the analyte is a large organic molecule of greater than about 1,000 Da. Also provided are embodiments where the large organic analyte is a polymer. In certain embodiments the polymer is a biopolymer. In further embodiments the biopolymer is a polynucleic acid, and in still further embodiments the biopolymer is an oligonucleotide. Additionally provided are embodiments where the biopolymer is a protein, polypeptide, or oligosaccharide.

In yet other embodiments, the sample is placed on a cooled sample stage in order to maintain a low vapor pressure of the sample in the vacuum chamber of the mass spectrometer.

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The sample stage is cooled below about 273°K, more typically between about 170 to about 190°K, and most typically to about 180°K.

### 3.0 BRIEF DESCRIPTION OF THE DRAWINGS

5 The following drawings form part of the present specification and are included to further demonstrate certain aspects of the present invention. The invention may be better understood by reference to one or more of these drawings in combination with the detailed description of specific embodiments presented herein.

10 FIG. 1 is a laser desorption/ionization time-of-flight mass spectrum of a mixture of single-stranded DNA oligomers 89, 90, and 91 nucleotides in length obtained using 8-hydroxyquinoline as the matrix. The laser wavelength was 355 nm.

15 FIG. 2 is a laser desorption/ionization time-of-flight mass spectrum of a double-stranded PCR product at 315 kDa per strand (greater than approximately 1000 nucleotides in length) using a 4-nitrophenol matrix. The laser wavelength was 355 nm.

### 4.0 DESCRIPTION OF ILLUSTRATIVE EMBODIMENTS

20 In accordance with the present invention, methods are provided for the preparation of samples for analysis by mass spectroscopy to minimize undesired fragmentation. Select light absorbing molecules, containing hydroxy functionalities (but not carboxylic functionalities) and having significant sublimation rates at room temperature under vacuum, are used as matrices in MALDI mass spectrometry. Hydroxy functionalities offer advantage over carboxylic functionalities due to their increased acidity in the excited state (Huppert *et al.*, 1981) and also  
25 typically provide lower intermolecular binding energies to increase volatility. Representative examples of matrix compounds include, but are not limited to, hydroxyquinolines, phenols, and hydroxynaphthalenes.

30 Samples are prepared by dissolving the analyte in a solution containing the matrix molecule, with the bulk of the solution being one or more solvents which are subsequently allowed to evaporate before mass analysis begins. Typically, the analyte will be present in the solution at a concentration of about 0.05 M to about 1.0 M.

The solvent evaporation may be conducted at a temperature range of about 20°C to about 30°C, with room temperature, about 25°C being most preferred. The evaporation results in the formation of a crystalline matrix, composed in part (between about 30% by weight to about 100% by weight) of the subject matrix molecule. Typically the matrix molecular weight is greater than about 90 Da, preferably between about 90 Da and about 400 Da. Due to the high volatility of these matrix molecules under vacuum at room temperature, the samples must be cooled in the mass spectrometers vacuum system to a vapor pressure between about  $10^{-10}$  Torr and about  $10^{-5}$  Torr, but not exceeding about  $10^{-5}$  Torr. These matrix molecules are termed herein as volatile, light-absorbing, hydroxy-bearing matrix molecules. As used herein the term volatile refers to a molecule having a sublimation rate at room temperature of greater than or equal to  $0.1 \mu\text{m}\cdot\text{min}^{-1}$  at a pressure of about  $10^{-5}$  Torr or less, and the term light absorbing refers to a molecule having an absorption coefficient greater than about  $10 \text{ l}\cdot\text{cm}^{-1}\cdot\text{mol}^{-1}$ .

Two low-sublimation-temperature molecules in particular function effectively as matrices for MALDI of nonvolatile organic molecules for detection by mass spectrometry. The compounds, 8-hydroxyquinoline (8HQ) and 4-nitrophenol (4NP), both contain a hydroxy functional group. The former is especially effective for high-resolution analysis of DNA less than approximately 100 nucleotides (30 kDa), and the latter is especially effective for sensitive detection of higher mass molecules.

Compounds contemplated for analysis using the present invention include a vast array of large organic molecules. As used herein, the term "large organic molecule" refers to a compound having a molecular weight of greater than about 1000 Da. Also as used herein, the term "nonvolatile" refers to a molecule which, when present in its pure, neat form and heated, does not sublime intact to any significant extent. Also included in the definition of nonvolatile compounds are compounds which, when present in their pure neat form, cannot be practically analyzed by mass spectrometry when conventional gas chromatography methods are employed in the sampling process. Representative of such organic compounds are polynucleic acids, polypeptides, oligosaccharides, PNAs and synthetic polymers. Polymeric compounds are also contemplated for analysis using the present invention. In particular biopolymers which are subject to fragmentation during mass analysis. Representative biopolymers include polymers of amino acids, nucleic acids, saccharides, carbohydrates and polypeptides.



The mass spectrometry may be accomplished by one of several techniques such as time-of-flight, magnetic sector or ion trap. Preferably, the mass spectrometry technique for use with the present invention will be time-of-flight.

The volatility of the matrix crystals necessitates that the sample stage of the mass spectrometer be cooled to substantially below room temperature where the sublimation rate is between about  $0.1 \mu\text{m}\cdot\text{min}^{-1}$  and about  $0.1 \text{mm}\cdot\text{min}^{-1}$ . A preferred approach is to use a liquid-nitrogen cooled sample stage, accomplished by flowing liquid nitrogen through a copper sample holder. Thus, the sample is cooled to less than  $273^\circ\text{K}$ , preferably between about  $170$  and  $190^\circ\text{K}$  or to about  $180^\circ\text{K}$ .

Wavelengths from the ultraviolet to infrared may be employed, depending on the cooled matrix being analyzed. Generally, one of skill in the art will understand that the appropriate wavelength will be one where light absorption is significant for the molecule being analyzed.

The disclosed low-sublimation temperature matrices and methods for using them to determine the mass of a large organic molecule or prepare a large organic molecule for mass spectral analysis may be used in a variety of MS applications, such as MS sequencing of nucleic acids; MS analysis of single nucleotide polymorphisms (SNPs); and MS analysis of simple sequence repeats (SSRs), short tandem repeats (STRs), and microsatellite repeats (MRs).

For example, the methods disclosed herein may be used in nucleic acid sequencing methods involving obtaining nucleic acid fragments using a four base Sanger sequencing reaction, performing MS on the products and determining the nucleic acid sequence from the mass differences between the peaks. The nucleic acid fragments may be obtained by hybridizing a DNA primer to a DNA template and extending the primer by a DNA polymerase in the presence of deoxy- and didcoxy- nucleotides. The DNA template may generally contain the DNA fragment to be sequenced and a region complementary to the primer. The DNA primers may also contain a biotin which allows for capture to a solid phase and a single, chemically cleavable internal linkage (such as a 5'-or 3'-(S)-phosphorothioate linkage which is cleavable by a silver ion catalyzed reaction). The cleavage chemistry of the internal linkage combined with the biotin capture are described in U.S. Patent No. 5,700,642, incorporated herein by reference.

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The nucleic acid fragments may be further processed prior to MS analysis. Generally, these processing steps involve binding the nucleic acid fragments to a streptavidin solid support, washing the bound fragments, and cleaving at the internal cleavage site to release the nucleic acid fragment from the solid support. Typically the bound fragments are first washed  
5 with a denaturant, such as aqueous NaOH, to remove unbound DNA and enzyme and then with a series of ammonium acetate washes. Following cleavage, the cleaved extension products may be prepared for MS analysis by drying; mixing the solid residue with the matrix material and ammonium citrate solution; spotting the mixture by pipette onto a plate; and allowing the mixture to dry.

10 The methods for MS SNP analysis are very similar to the DNA sequencing methods except that only dideoxynucleotides are employed.

These low-sublimation temperature matrices may also be used for analyzing SSRs, STRs, and MRs involving the determination of the number of repetitive units contained in amplification products by MS. The amplification products are typically obtained by  
15 hybridizing a DNA primer to a DNA target molecule and extending the primer by a DNA polymerase. Similar to the sequencing methods, the DNA primer contains a region complementary to the DNA target molecule adjacent to the SSR-, STR-, or MR-containing region. The primer may also contain biotin and internal cleavable linkages.

The following examples are included to demonstrate preferred embodiments of the  
20 invention. It should be appreciated by those of skill in the art that the techniques disclosed in the examples which follow represent techniques discovered by the inventor to function well in the practice of the invention, and thus can be considered to constitute preferred modes for its practice. However, those of skill in the art should, in light of the present disclosure, appreciate that many changes can be made in the specific embodiments which are disclosed and still  
25 obtain a like or similar result without departing from the spirit and scope of the invention.

## 5.0 EXAMPLES

The following examples are included to demonstrate preferred embodiments of the invention. It should be appreciated by those of skill in the art that the techniques disclosed in  
30 the examples which follow represent techniques discovered by the inventors to function well in the practice of the invention, and thus can be considered to constitute preferred modes for its

practice. However, those of skill in the art should, in light of the present disclosure, appreciate that many changes can be made in the specific embodiments which are disclosed and still obtain a like or similar result without departing from the spirit and scope of the invention.

## 5 5.1 Example 1 - Materials and Methods

A time-of-flight mass spectrometer similar to that previously described by Wu et al. (1994) and Hunter et al. (1997) was used, having pulsed delayed ion extraction. The sample stage was floated at 20 kV, and after some delay time (approximately several hundred nanoseconds, dependent on mass), ions were extracted by a 2.7 kV pulse and focused into a 1-  
10 meter flight tube. The signal output from the dual microchannel plate detector was amplified and digitized with 5 ns time resolution.

Laser wavelengths of either 355 or 266 nm were employed for desorption/ionization in the examples below. Comparable positive and negative ion signals were observed from oligonucleotide analytes.

15 The temperature of the sample on a liquid nitrogen-cooled sample stage was maintained at approximately 180 K as measured by thermocouple wires, low enough to maintain a matrix vapor pressure of less than  $10^{-5}$  Torr.

## 20 5.2 EXAMPLE 2 - DNA Oligomer Analysis Employing 8-Hydroxyquinoline as a Matrix

The preparative solution for the 8HQ matrix began by using 0.2 M 8HQ in 1:1 (volume) acetone:butanone. To reduce alkali-metal adduct ion formation, to that initial 8HQ solution was added an equal volume of 50 mM aqueous diammonium citrate, resulting in a 25 mM final diammonium citrate concentration and 0.1 M 8HQ concentration. 8HQ is known to chelate  
25 trace amounts of metal ions, especially copper, but the addition of CDTA (trans-1,2-diaminocyclohexane- $N,N,N',N'$  tetraacetic acid monohydrate) effectively suppressed copper adducts in the mass spectrum; a small aliquot of concentrated CDTA was added to a much larger volume of the 8HQ solution to yield a 10mM CDTA concentration.

The oligonucleotide sample was obtained from polymerase chain reaction (PCR)  
30 amplification of a short tandem repeat sequence at the human TH01 (tyrosine hydroxylase gene) locus. One of the strands was captured, denatured, washed, then released to produce

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single-stranded products. An aliquot of aqueous solution of this T1101 oligonucleotide (estimated 10 pmol quantity) was first evaporated in a vacuum evaporator to remove the water, and then one microliter of the matrix solution was added to the dried DNA. This resulting solution was pipetted onto a silicon substrate mounted on a copper sample holder. After air-drying of the solvent and resultant crystallization of the matrix, the sample was placed on the cryogenically-cooled sample stage in the mass spectrometer.

8HQ is an effective matrix for high resolution studies of DNA oligomers less than approximately 100 nucleotides in length. FIG. 1 illustrates the mass resolution attainable for single-stranded oligonucleotides of about 27 kDa using 355 nm pulsed laser light for desorption and summing mass spectra over 200 laser pulses. DNA oligomers containing 89, 90, and 91 nucleotides have a mass resolution ( $m/\Delta m$ ) of 650, 625, and 700, respectively at full width at half height. Spectra of oligonucleotides in 8HQ matrix typically have a low background ion signal and high signal-to-noise levels.

### 5.3 EXAMPLE 3 - DNA Oligomer Analysis Employing 4-Nitrophenol as a Matrix

The preparative solution for the 4NP matrix was 0.5 M 4NP in 1:1 (volume) methanol:water containing diammonium citrate at 50 mM final concentration. One microliter of the matrix solution was added to dried DNA which was a double-stranded PCR product estimated at 10 pmol quantity derived from an unknown cDNA insert in a vector. This resulting solution was pipetted onto a silicon substrate mounted on a copper sample holder. After air-drying of the solvent and resultant crystallization of the matrix, the sample was placed on the cryogenically-cooled sample stage in the mass spectrometer. FIG. 2 is the resulting time-of-flight mass spectrum using 355 nm laser light for desorption and summing over 1000 laser pulses yielding an estimated mass of 315 kDa which corresponds to an estimated number of bases exceeding 1,000. The width of the peak originates in part from the mass difference of the two complementary DNA strands (denatured during analysis) and partly from adduct formation as well as fragmentation. DNA oligomers have not previously been reported to be detected in this size range.

\* \* \*

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All of the compositions and methods disclosed and claimed herein can be made and executed without undue experimentation in light of the present disclosure. While the compositions and methods of this invention have been described in terms of preferred embodiments, it will be apparent to those of skill in the art that variations may be applied to the compositions and methods and in the steps or in the sequence of steps of the method described  
5 herein without departing from the concept, spirit and scope of the invention. More specifically, it will be apparent that certain agents which are both chemically and physiologically related may be substituted for the agents described herein while the same or similar results would be achieved. All such similar substitutes and modifications apparent to those skilled in the art are  
10 deemed to be within the spirit, scope and concept of the invention as defined by the appended claims.

## 6.0 REFERENCES

The following references, to the extent that they provide exemplary procedural or other details supplementary to those set forth herein, are specifically incorporated herein by reference.

5

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US 5,118,937 to Hillenkamp *et al.*

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CLAIMS

1. A method for determining the mass of a large organic molecule, said method comprising:

- 5       (a)     contacting said large organic molecule with a photoabsorbing low-sublimation temperature matrix to produce a matrix:molecule mixture;
- (b)     desorbing and ionizing said molecule; and
- (c)     determining the mass of said ionized large organic molecule by mass spectrometry.

10

2. The method of claim 1, wherein said mass spectrometry is performed using a cooled sample stage.

3. The method of claim 2, wherein said sample stage is cooled by liquid nitrogen.

15

4. The method of claim 3, wherein said sample stage is cooled to from about 170 °K to about 190 °K.

5. The method of claim 4, wherein said sample stage is cooled to about 180 °K.

20

6. The method of claim 1, wherein said contacting is performed by dissolving said large organic molecule in a solution containing said matrix.

7. The method of claim 6, wherein said solution comprises a solvent.

25

8. The method of claim 7, wherein said solvent is organic.



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9. The method of claim 7, wherein the solvent is water, methanol, ethanol, toluene, acetone, or acetonitrile.
10. The method of claim 7, wherein said solvent is evaporated prior to mass spectrometry.
- 5 11. The method of claim 10, wherein said solvent is evaporated at room temperature.
12. The method of claim 1, wherein said matrix:molecule mixture is cooled to a vapor pressure between about  $10^{-5}$  Torr and about  $10^{-10}$  Torr prior to mass spectrometry.
- 10 13. The method of claim 1, wherein said matrix is further defined as a volatile, light-absorbing, hydroxy-bearing matrix.
14. The method of claim 1, wherein said matrix is selected from the group consisting of
- 15 phenols, hydroxyquinolines, and hydroxynaphthalenes.
15. The method of claim 14, wherein said matrix is a phenol.
16. The method of claim 15, wherein said phenol is 4-nitrophenol.
- 20 17. The method of claim 14, wherein said matrix is a hydroxyquinoline.
18. The method of claim 17, wherein said hydroxyquinoline is 8-hydroxyquinoline.
- 25 19. The method of claim 1, wherein said large organic molecule is a polymer.
20. The method of claim 19, wherein said polymer is a biopolymer.

21. The method of claim 20, wherein said biopolymer is selected from the group consisting essentially of nucleic acid, polypeptide, peptide nucleic acid and oligosaccharide.

5 22. The method of claim 21, wherein said biopolymer is a nucleic acid.

23. The method of claim 22, wherein said nucleic acid is DNA.

24. The method of claim 22, wherein said nucleic acid is RNA.

10

25. The method of claim 1, wherein said large organic molecule has a mass of greater than about 1,000 Da.

15

26. The method of claim 25, wherein said large organic molecule has a mass of greater than about 27,000 Da.

27. The method of claim 26, wherein said large organic molecule has a mass of greater than about 250,000 Da.

20

28. The method of claim 27, wherein said large organic molecule has a mass of greater than about 315,000 Da.

29. The method of claim 1, wherein said matrix has a sublimation rate at room temperature of (at least  $0.1 \mu\text{m}\cdot\text{min}^{-1}$  at a pressure of less than about  $10^{-5}$  Torr.

25

30. The method of claim 1, wherein said matrix has a sublimation rate at room temperature of from about  $0.01 \mu\text{m}\cdot\text{min}^{-1}$  to about  $0.1 \text{mm}\cdot\text{min}^{-1}$  at a pressure of less than about  $10^{-5}$  Torr.

31. The method of claim 1, wherein said matrix has an absorption coefficient greater than about  $10 \text{ l}\cdot\text{cm}^{-1}\cdot\text{mol}^{-1}$ .

5 32. The method of claim 1, wherein said desorbing comprises exposing said matrix:molecule mixture to a source of energy to desorb said large organic molecule from the matrix.

33. The method of claim 32, wherein said source of energy is pulsed laser radiation.

10

34. The method of claim 33, wherein said pulsed laser radiation comprises applying an energy of about 20 kV followed by a pulse of energy of about 2.7 kV pulse.

15

35. The method of claim 34, wherein said pulse of energy comprises light having a wavelength of about 355 nm.

36. The method of claim 1, wherein said mass spectrometry comprises time-of-flight mass spectrometry.

20

37. The method of claim 36, wherein the mass of said ionized large organic molecule is determined by summing mass spectra over about 200 laser pulses.

38. A method for preparing a sample of large organic molecules for mass spectral analysis, said method comprising:

25

- a) providing a solution comprising said large organic molecule to be analyzed, a volatile, light-absorbing hydroxy-bearing matrix composition, and a solvent; and

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- b) evaporating said solvent to provide a solid matrix material containing the molecule to be analyzed.

39. The method of claim 38, wherein said matrix composition is selected from the group  
5 consisting essentially of phenols, hydroxyquinolines, and hydroxynaphthalenes.

40. The method of claim 39, wherein said matrix composition is a phenol.

41. The method of claim 40, wherein said phenol is 4-nitrophenol.

10

42. The method of claim 39, wherein said matrix composition is a hydroxyquinoline.

43. The method of claim 42, wherein said hydroxyquinoline is 8-hydroxyquinoline.

15 44. The method of claim 38, wherein said large organic molecule is a polymer.

45. The method of claim 44, wherein said polymer is a biopolymer.

46. The method of claim 45, wherein said biopolymer is selected from the group consisting  
20 of nucleic acid, polypeptide, peptide nucleic acid and oligosaccharide.

47. The method of claim 46, wherein said biopolymer is a nucleic acid.

48. The method of claim 47, wherein said nucleic acid is DNA.

25

49. The method of claim 47, wherein said nucleic acid is RNA.

50. The method of claim 38, wherein said large organic molecule has a mass of greater than about 1,000 Da.

51. The method of claim 50, wherein said large organic molecule has a mass of greater than  
5 about 27,000 Da.

52. The method of claim 51, wherein said large organic molecule has a mass of greater than about 250,000 Da.

10 53. The method of claim 52, wherein said large organic molecule has a mass of greater than about 315,000 Da.

54. The method of claim 38, wherein said matrix has a sublimation rate at room temperature of at least  $0.1 \mu\text{m}\cdot\text{min}^{-1}$  at a pressure of less than about  $10^{-6}$  Torr.

15 55. The method of claim 38, wherein said matrix has an absorption coefficient greater than about  $10 \text{ l}\cdot\text{cm}^{-1}\cdot\text{mol}^{-1}$ .

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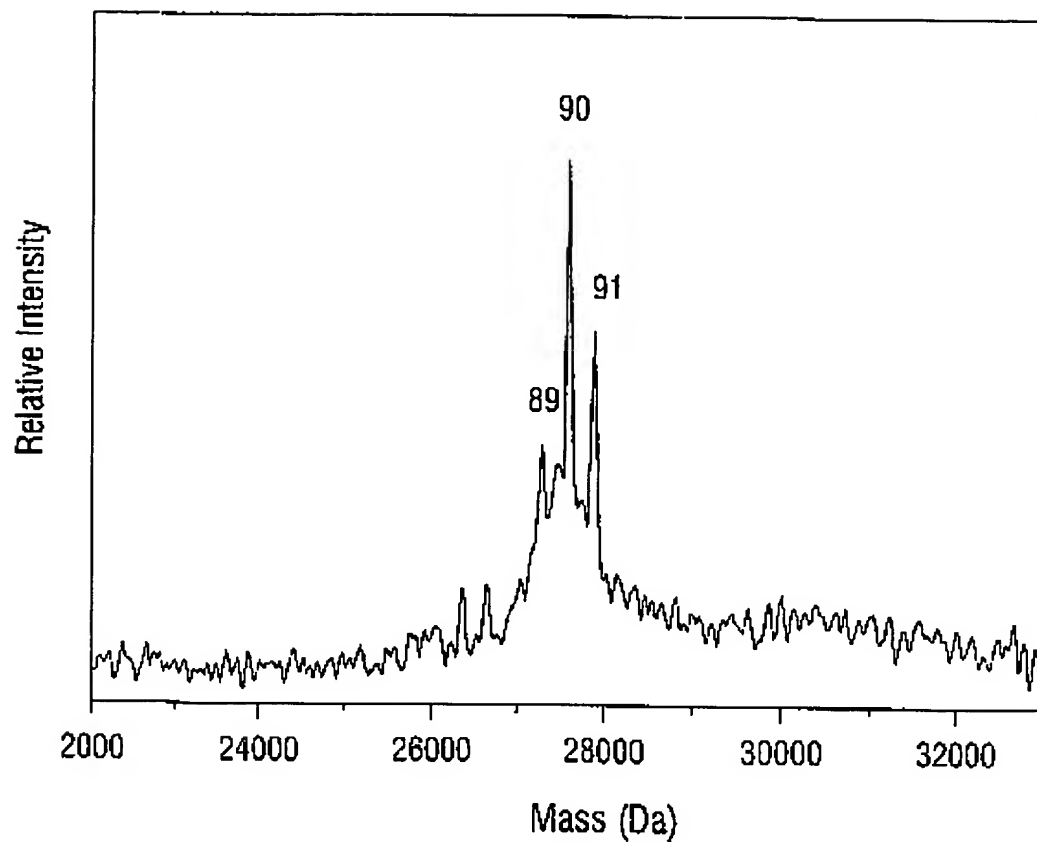


FIG. 1

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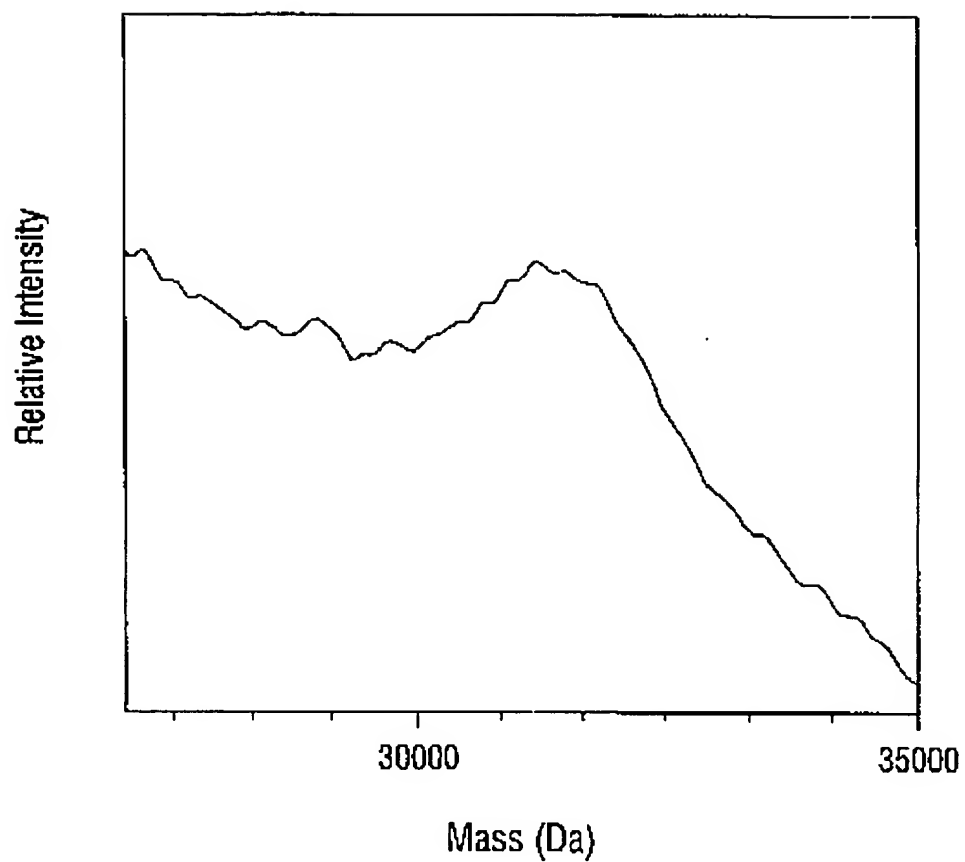


FIG. 2

# INTERNATIONAL SEARCH REPORT

International Application No.  
PCT/US 98/11003

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 H01J49/04 H01J49/16

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation or searches (classification system followed by classification symbol)  
IPC 6 H01J

Documentation searched other than minimum documentation to the extent that such documents are included in the files searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 135 870 A (WILLIAMS PETER ET AL) 4 August 1992 cited in the application  see column 3, paragraph 1-2 see column 7; claims 1-11 ---	1-3, 6, 12, 13, 19, 25-29, 32, 33, 36, 38, 48-55
A	US 5 118 937 A (HILLENKAMP FRANZ ET AL) 2 June 1992 cited in the application see claims 27-34 ---	1, 38

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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex

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Date of the actual completion of the international search

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Authorized Signer

Hulne, S



# INTERNATIONAL SEARCH REPORT

Intern. PCT Application No.

PCT/US 98/11603

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication where appropriate of the relevant passages	Relevant to claim No.
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Information on patent family members

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